

Information Propagation and Simulation Preorders

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Content of the talk

- 2 tools:
 - ▶ a **simulation preorder** on the set of CA
(Mazoyer and Rapaport '98, Ollinger '02, T. '05)
 - ▶ **Communication Complexity** applied to CA : *a way to measure information propagation*
(Dürr, Rapaport, T. '04)
- calculus of CC for some classes of CA
- links between CC and the preorder structure

A preorder on CA

Subsystems and factors

Subsystem

(Σ, F^t) is a **subsystem** of $(A^{\mathbb{Z}}, F)$ if Σ is (weakly) stable for F :

$$F^t(\Sigma) \subseteq \Sigma$$

Factor

(Σ', G) is a **factor** of $(A^{\mathbb{Z}}, F)$ if:

$$\phi \circ F = G \circ \phi$$

where $\phi : A^{\mathbb{Z}} \rightarrow \Sigma'$ is continuous, shift-commuting and onto

$(A^{\mathbb{Z}}, F)$ is at least as complex as (Σ, F^t) and (Σ', G)

A preorder on CA

Examples

Subautomata (\sqsubseteq)

$F_A \sqsubseteq F_B \iff \exists \iota : A \rightarrow B$ injective s.t. $\forall x \in A^{\mathbb{Z}}$:

$$F_B \circ \bar{\iota}(x) = \bar{\iota} \circ F_A(x).$$

Local factors (\trianglelefteq)

$F_A \trianglelefteq F_B \iff \exists \pi : B \rightarrow A$ surjective s.t. $\forall y \in B^{\mathbb{Z}}$:

$$F_A \circ \bar{\pi}(y) = \bar{\pi} \circ F_B(y).$$

e.g. $\forall i \leq n : MAX_i \sqsubseteq MAX_n$ and $MAX_i \trianglelefteq MAX_n$

A preorder on CA

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► **Limitation:** $F_A \trianglelefteq F_B$ or $F_A \sqsubseteq F_B$ implies F_A is syntactically smaller than F_B

A preorder on CA

► **Idea:** change definitions to

- 1 ensure (Σ, F) and (Σ', G) are CA
- 2 capture more than subautomata and local factors

► Two points of view:

- specialization of subsystems and factors
- notions of subautomata and local factors **up to** space-time transformations

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Block subshift

Definition

If $n > 0$ and $W \subseteq B^n$, let

$$L_W = \{u : \exists v \in (B^n)^* \text{ and } u \subseteq v\}.$$

The subshift Σ_W of language L_W is the *block subshift* associated to W .

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► Remarks:

- Σ_W is not always of finite type (e.g. when $W = \{01, 00\}$);
- Σ_W is always sofic (1-block factor of the SFT obtained by adding markers on the end of each block).

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Buried subautomata

- Consider a subsystem (Σ_W, F^t) of $(A^{\mathbb{Z}}, F)$: $F^t(\Sigma_W) \subseteq \Sigma_W$.

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Buried subautomata

- Consider a subsystem (Σ_W, F^t) of $(A^{\mathbb{Z}}, F)$: $F^t(\Sigma_W) \subseteq \Sigma_W$.
- Let $\Sigma_0 = \{x \in \Sigma_W : \forall k \in \mathbb{Z}, x_{kn}x_{kn+1} \cdots x_{(k+1)n-1} \in W\}$
 $\Sigma_0 \equiv$ configurations “aligned” on 0 (not a subshift but fixed by σ_A^n).
- **Fact:** $\exists k \in \mathbb{Z}$ s.t. $F^t \circ \sigma^k(\Sigma_0) \subseteq \Sigma_0$
proof: consider $c \in \Sigma_0$ with $L(c) = L_W$

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- $(\Sigma_0, \sigma_A^n, F^t \circ \sigma^k)$ is isomorphic to a CA $(W^{\mathbb{Z}}, \sigma_W, F')$.

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Definition

$(W^{\mathbb{Z}}, F')$ is a *buried subautomaton* of $(A^{\mathbb{Z}}, F)$.

► **Remark:** (Σ_W, F^t) contains $n - 1$ copies of $(W^{\mathbb{Z}}, F')$ which possibly share some orbits.

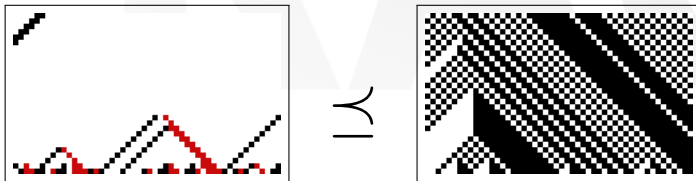
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Definition

Definition

$F_A \preceq F_B$ if F_A is a local factor of some buried subautomaton of F_B .

► Example:



$$W = \{ \square \blacksquare \square \blacksquare \square \blacksquare, \square \blacksquare \square \square \square \blacksquare, \square \blacksquare \blacksquare \blacksquare \square \blacksquare \}$$

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Alternative definition

- $\left. \begin{array}{l} \mathbf{o}_m^{-1} : A^{\mathbb{Z}} \rightarrow (A^m)^{\mathbb{Z}} \\ \mathbf{o}_m : (A^m)^{\mathbb{Z}} \rightarrow A^{\mathbb{Z}} \end{array} \right\}$ "power block transformation maps"

Proposition

$F_A \preceq F_B$ if and only if $\exists m, t, z$ such that $F_A \trianglelefteq \mathbf{o}_m^{-1} \circ \sigma^z \circ F_B^t \circ \mathbf{o}_m$.

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- \preceq is a preorder (with induced equivalence relation \sim)
- we study the order $(AC / \sim, \preceq)$
 - ▶ top and bottom
 - ▶ topology (open = up-set, closed = down-set)
 - ▶ induced orders (chains, lattices, etc)

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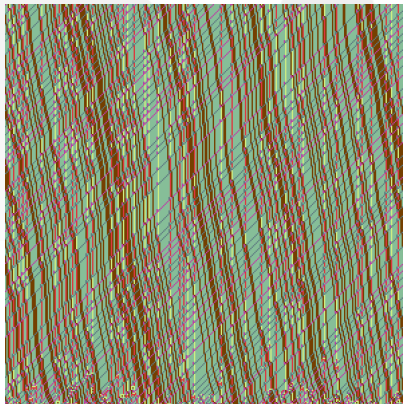
▶ **Remark:** many similar (but \neq) versions of preorders, e.g.

$$F_A \leq F_B \iff \exists m, m', t, t', z : \mathbf{o}_{m'}^{-1} \circ F_A^{t'} \circ \mathbf{o}_{m'} \trianglelefteq \mathbf{o}_m^{-1} \circ \sigma^z \circ F_B^t \circ \mathbf{o}_m.$$

A preorder on CA

Some properties of \preceq

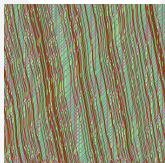
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A preorder on CA

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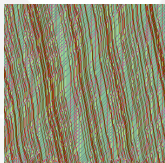


- some remarkable **closed sets**: *surjective CA, injective CA, linear CA, equicontinuous CA, nilpotent CA*
- a remarkable **open set**: *Turing-universal CA*

A preorder on CA

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- a remarkable **open set**: *Turing-universal CA*
- infinite chains (MAX_n)
- Turing machines lattice
- ...

A preorder on CA

Complexity parameters

► **General question:** how to prove negative results?

- F_A **can't** simulate F_B
- F_A **isn't** intrinsically universal

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Definition

A *good complexity parameter* is an order morphism ρ from (AC, \preceq) to some (total) order (E, \leq) .

- gives necessary conditions for simulations, e.g.

$$F_A \text{ intrinsically universal} \Rightarrow \rho(F_A) \geq e_U.$$

A preorder on CA

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- **“bad” complexity parameters:** *number of states, kolmogorov complexity of the transition table, (complexity of the limit set?)*

Communication complexity

Definition (Yao '79)

► Informally:

- $f(x, y)$ a finite function
- A knows f and x , B knows f and y
- $CC(f)$ = “min #bits A and B must exchange to know $f(x, y)$ ”

Communication complexity

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► Formally:

- a protocol P for $f : X \times Y \rightarrow Z$ is a labelled binary tree
 - **internal nodes**: a predicate over x or a predicate over y
 - **leaves**: a value in Z
- $P(x, y)$ = value of leaf reached by descending left/right according to predicates at nodes
- $size(P)$ = depth of tree

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Definition

$$CC(f) = \min_P \{ size(P) : \forall x, y, P(x, y) = f(x, y) \}$$

Communication complexity

Examples

- $X = Y = \{0, 1, \dots, n\}$
- $f(x, y) = xy \bmod 2$

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Communication complexity

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- $X = Y = \{0, 1\}^n$
- $f(x, y) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{else} \end{cases}$

Communication complexity

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Communication complexity

Application to CA

- $(A^{\mathbb{Z}}, F)$ with local function δ and radius r
- many ways to see δ as a function of 2 variables:

$$\delta_i : A^i \times A^{2r+1-i} \rightarrow A.$$

- $CC(F) = \max_i CC(\delta_i)$

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Definition

$$\rho(F) = t \mapsto CC(F^t)$$

- $f \lesssim g \iff \exists K_1, K_2, \forall t : f(t) \leq K_1 g(K_2 t)$

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Proposition

(ρ, \lesssim) is a good complexity parameter

Communication complexity

Classes of low complexity

Proposition

F linear \Rightarrow $\rho(F)$ bounded.

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Communication complexity

Classes of low complexity

Proposition

F linear $\Rightarrow \rho(F)$ bounded.

Proof.

- $\delta : A^n \times A^m \rightarrow A$ linear
- A sends $\delta(x, 0)$ to B (cost: $\log(\#A)$ bits)
- B knows $\delta(0, y)$
- $\delta(x, y) = \delta(x, 0) + \delta(0, y)$
- B knows $\delta(x, y)$



Communication complexity

Classes of low complexity

Proposition

F equicontinuous $\Rightarrow \rho(F)$ bounded.

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Communication complexity

Classes of low complexity

Proposition

F equicontinuous $\Rightarrow \rho(F)$ bounded.

Proof.

- $\exists K, \forall t : F^t$ has a minimal neighbourhood of size $\leq K$
- $\forall t, \delta_t$ depends only on K variables
- **A** tries all possible values for variables of **B** and sends the list of corresponding results (cost: at most $(\#A)^K \log(\#A)$ bits)
- **B** chooses the good result in the list



Communication complexity

High complexity and universality

Proposition

There is a CA F with $\rho(F)$ growing linearly

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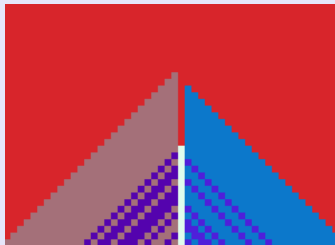
Communication complexity

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An intrinsically universal CA must have a linear CC

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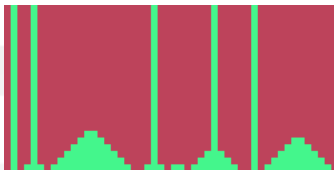
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Corollary

Neither linear CA nor equicontinuous CA can be intrinsically universal

What's next?

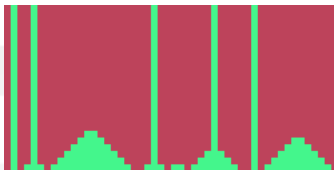
- other complexities:



$$\rho(F) = \log$$

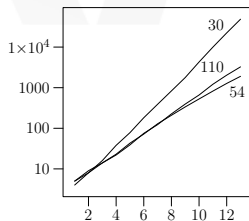
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- experimentations:



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Questions?