

# **Freezing Cellular Automata and Bootstrap Percolation**

*UAI - Doctorado en Ingeniería de Sistemas Complejos*

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(CNRS, CMM)

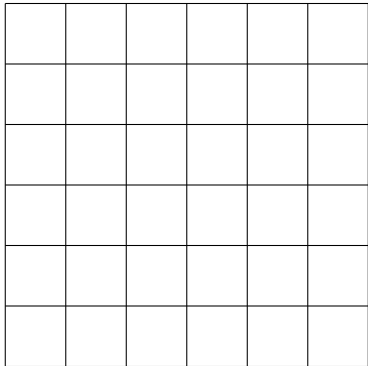
December, 2013

# Forewords

- joint research with E. Goles, N. Ollinger, V. Salo, I. Törmä
  
- partly work in progress

# Cellular automata?

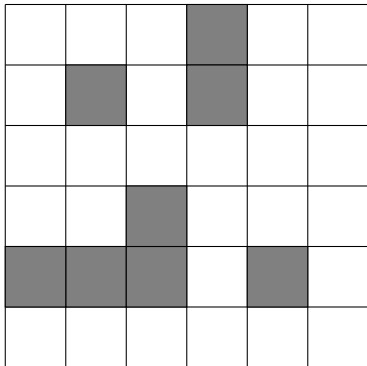
Discrete, discrete, discrete...



① discrete space

# Cellular automata?

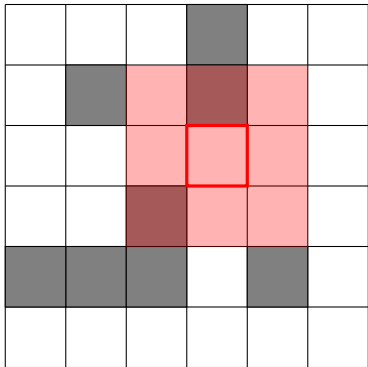
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- 1 discrete space
- 2 finite set of local states

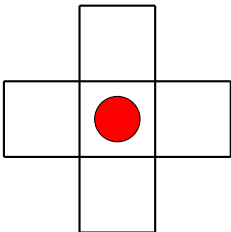
# Cellular automata?

Discrete, discrete, discrete...



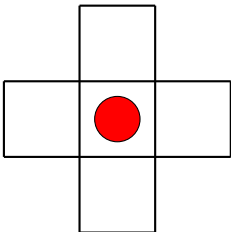
- 1 discrete space
- 2 finite set of local states
- 3 uniform local evolution law at discrete time steps

## 'The' bootstrap percolation CA



- **states:**  $\{0, 1\}$
- if  $\#1 \geq 2$  in neighb. then become 1
- otherwise don't change

## 'The' bootstrap percolation CA

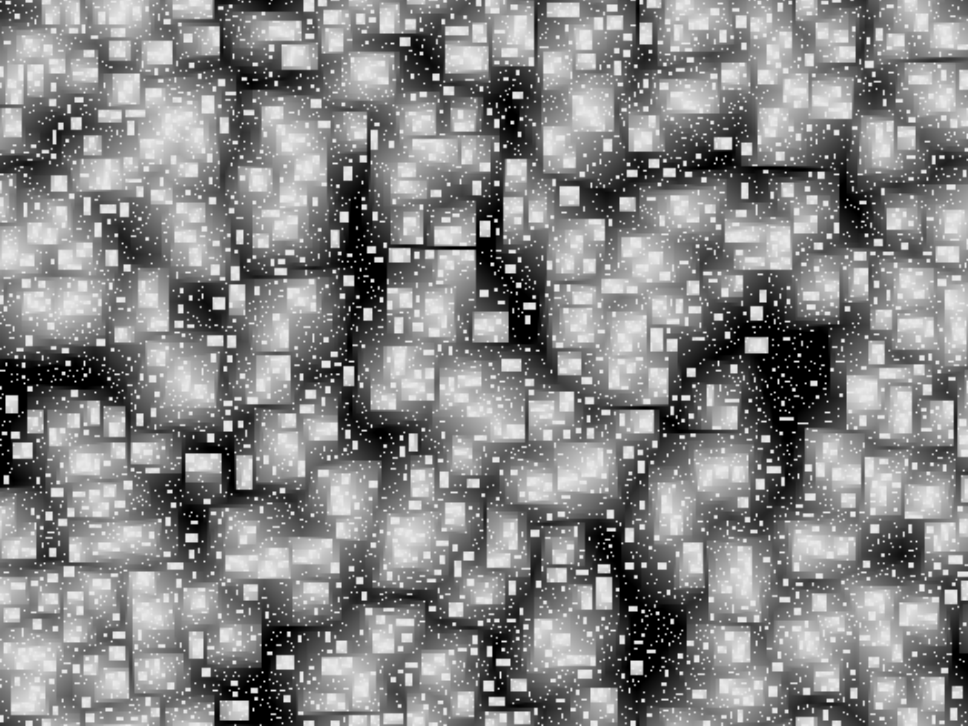


- **states:**  $\{0, 1\}$
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- otherwise don't change

### General questioning

Starting from a random initial configuration...

- what is the probability that **all** cells turn into 1?
- how does this depend on the initial random distribution?





# 'The' bootstrap percolation CA

## Results

- Chalupa et. al, 1979: introduction and experiments
- Enter, 1987:  
In the **infinite case**, for **any initial density** of 1s, every cell eventually turns into 1 with prob. 1
- Holroyd, 2003:  
In the **finite case**  $N \times N$ , the **critical initial density** of 1s to obtain percolation with high probability is:

$$\frac{(1 + o(1))\pi^2}{18 \log N}$$

## Threshold rules

- states  $Q = \{0, 1\}$
- neighborhood  $N$ : arbitrary finite subset of  $\mathbb{Z}^2$
- rule with **threshold**  $\theta$

$$F(x)_z = \begin{cases} 0 & \text{if } x_z = 0 \text{ and } \#\{z' \in N : x_{z+z'} = 1\} < \theta \\ 1 & \text{else} \end{cases}$$

- limit fixed point

$$F^\infty(x) = \lim_{t \rightarrow \infty} F^t(x)$$

# Threshold rules

## Bootstrap percolation

### Definition (percolation set)

$$P_F = \{x : F^\infty(x) = 1^{\mathbb{Z}^2}\}$$

- $\mu_p$  Bernoulli distribution: 1 with probability  $p$ ,  $0 \leq p \leq 1$
- By ergodicity,  $\mu_p(P_F) = 0$  or  $1$
- $\mu_0(P_F) = 0$  and  $\mu_1(P_F) = 1$
- **Lemma:** if  $p \leq p'$  then  $\mu_p(P_F) \leq \mu_{p'}(P_F)$

### Central question

What is the **critical probability**  $p_c(F) = \inf\{p : \mu_p(P_F) = 1\}$ ?

# Threshold rules

## Criticality

- 3 behaviors for a threshold rule  $F$ :

**supercritical** finite seed of 1s

$\exists x$  finite s.t.  $F^\infty(x)$  is infinite

**subcritical** finite alliance of 0s

$\exists x$  co-finite s.t.  $F(x) = x$

**critical** other cases

### Proposition

- supercritical  $\Rightarrow p_c(F) = 0$
- subcritical  $\Rightarrow p_c(F) = 1$

# Threshold rules

## Bootstrap percolation results

- (sub/super)criticality is completely determined for symmetric  $N$  (i.e.  $N = -N$ )
  - Gravner-Griffeath 1996
  - simple geometrical/combinatorial conditions on  $N$  and  $\theta$
  - polynomial algorithm
  
- a large class of critical rules all behave the same way

### Theorem (Gravner-Griffeath,1996)

If  $F$  is critical with  $N$  symmetric then  $p_c(F) = 0$ .

### Theorem (Duminil-Holroyd,2012)

If  $F$  is critical with a “nice”  $N$  then finite-size sharp transition is known.

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- a word  $u$  is  $\mu$ -**persistent** if

$$\mu_t(u) \not\rightarrow 0 \text{ (when } t \rightarrow \infty)$$

- $\mu$ -**limit set**  $\Omega_\mu$  = configurations with only persistent words
- $F$   $\mu$ -**nilpotent** if  $\Omega_\mu$  is a single (uniform) configuration

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### Remark

Questions about bootstrap percolation are questions about  $\mu$ -nilpotency



## $\mu$ -limit sets and $\mu$ -nilpotency

- in general, question about  $\Omega_\mu$  are **very** hard!

## $\mu$ -limit sets and $\mu$ -nilpotency

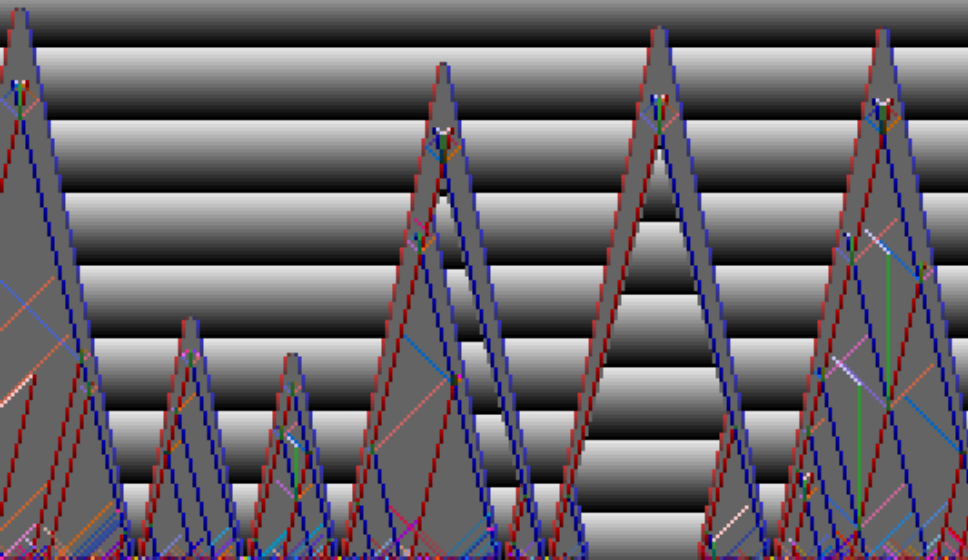
- in general, question about  $\Omega_\mu$  are **very** hard!

### Theorem (Delacourt *et al.*, 2013)

- the  $\mu$ -nilpotency problem is  $\Pi_3^0$ -complete
- deciding any non-trivial property about  $\Omega_\mu$  is  $\Pi_3^0$ -hard

### Proposition (Delacourt *et al.*, 2013)

- There exists  $F$  such that  $\Omega_\mu(F) \neq \Omega_\mu(F^2)$ .
- $\mu$ -nilpotency is a simpler problem ( $\Pi_2^0$ ) in the simply convergent case



# Freezing cellular automata

## Freezing cellular automata

- $Q = \{0, \dots, n - 1\}$  with natural order  $\leq$
- $N$  arbitrary neighborhood
- $F$  is **freezing** if

$$\forall x, \forall z : F(x)_z \geq x_z$$

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- $F$  is **freezing** if

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- limit fixed point always defined

$$F^\infty(x) = \lim_{t \rightarrow \infty} F^t(x)$$

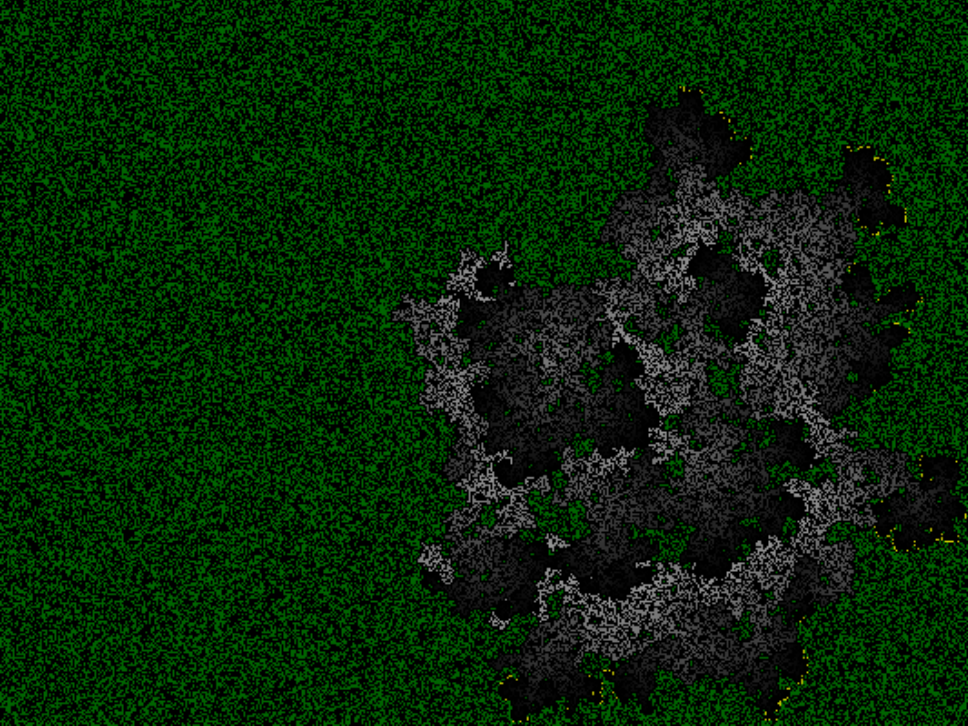
- *special case*:  $F$  is **monotonic** wrt cellwise order

$$x \leq^* y \Rightarrow F(x) \leq^* F(y)$$

# Freezing cellular automata

## Examples

- threshold CA are **monotonic freezing**
- self-assembly systems are **freezing** (asynchronous) CA
- other examples (**freezing**):
  - infection propagation (SIR models)
  - life without death





## Freezing cellular automata

- kind of poor dynamically (convergence to fixed-point)

## Freezing cellular automata

- kind of poor dynamically (convergence to fixed-point)
- ...but interesting from the point of view of complexity theory

### Work in progress with E. Goles and N. Ollinger

- Turing-universality even in 1D
- prediction NL-complete in 1D
- prediction P-complete in 2D
- communication complexity of prediction in  $O(n^d \log(n))$  in dimension  $d$
- no intrinsic universality in 1D
- intrinsic universality in 2D
- ...

# Freezing cellular automata

## Decidability of Bootstrap percolation

- The general problem:
  - input:  $F$  freezing + Bernoulli distribution  $\mu_p$
  - question: is  $F$   $\mu_p$ -nilpotent?
  
- **Lemma:** for  $F$  freezing the sequence  $(F^t(\mu_p))_t$  is simply convergent

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### Unpublished result 1 (with V. Salo and I. Törmä)

The general problem above is undecidable, even when restricted to 2-states freezing CA.

# Freezing cellular automata

## Decidability of Bootstrap percolation

### Unpublished result 2 (with V. Salo and I. Törmä)

The following problem is **decidable**

- input:  $F$  freezing monotone with 2 states
  - question: is there  $p$  such that  $F$  is  $\mu_p$ -nilpotent?
- 
- decidable reduction to two cases depending on triggering patterns
    - 1 subcritical rules
    - 2 a rule that is  $\mu_p$ -nilpotent for some  $p$  (classical directed percolation theory)

# Freezing cellular automata

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- decidable reduction to two cases depending on triggering patterns
  - 1 subcritical rules
  - 2 a rule that is  $\mu_p$ -nilpotent for some  $p$  (classical directed percolation theory)

### Conjecture

The general  $\mu$ -nilpotency problem is decidable for all freezing monotone CA.

# Freezing cellular automata

## Non-monotonic Bootstrap percolation

### Unpublished result 3 (with V. Salo and I. Törmä)

There is a freezing CA  $F$  with 2 states, and  $0 < p_1 < p_2 < 1$  such that:

- $F$  is  $\mu_{p_1}$ -nilpotent
- $F$  is not  $\mu_{p_2}$ -nilpotent

| $p$                 | 0  | $p_1$ | $p_2$ | 1   |
|---------------------|----|-------|-------|-----|
| $\mu_p$ -nilpotency | NO | YES   | NO    | YES |

# Freezing cellular automata

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- $F$  is **not**  $\mu_{p_2}$ -nilpotent

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|---------------------|----|-------|-------|-----|
| $\mu_p$ -nilpotency | NO | YES   | NO    | YES |

- $F = M \circ D$  where
  - $M$  is monotone
  - $D$  is a 'density detection' rule
  - $F^n = M^n \circ D$
- $D(\mu_p)$  is **not** a Bernoulli distribution (correlations), but analysis of  $M$  starting from this distribution is possible



Gracias!